



# Pascalmatrix tetrated

# "Iterated exponentiation" applied to the Pascalmatrix

Abstract: A short collection of findings for the tetration of the Pascalmatrix

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#### 1. Computation

#### 1.1. Intro

Matrices can be exponentiated<sup>1</sup> and also be logarithmized (if certain conditions are satisfied). With this also a "matrix-power of a matrix"  $A^B$  can be defined using this matrix-logarithm and when the exponentiation is resolved into the appropriate powerseries for its argument.

In a recent thread in the *sci.math*-newsgroup we had a discussion about the detail, whether it is more meaningful to define  $A^B$  as Exp(Log(A) \* B) or Exp(B \* Log(A)). For this discussion I studied the whereabouts using the Pascalmatrix as an example and came across the following very astonishing heuristiscs and perspectives, which – concerning the original question – also strongly suggest to use the Exp(Log(A) \* B)-version...

#### Definitions

For the definition of the matrix-exponential the obvious pattern is the exponential-powerseries

(1.1.1.)  $exp(x) = 1 + x/1! + x^2/2! + x^3/3! + \dots$ 

applied to a square matrix-parameter **X** 

(1.1.2.)  $Exp(X) = I + X/1! + X^2/2! + X^3/3! + ...$ 

// use I for identity-matrix

which, if X is triangular or is nilpotent, gives exact values for each entry of the resulting matrix. Similarly, we can define the matrix-logarithm<sup>2</sup> using the mercator-series with a square matrix-argument

(1.1.3.)  $Log(X) = (X-I)/1 - (X-I)^2/2 + (X-I)^3/3 - ...$ 

if this series converges, or the better converging series

 $let F = (X-I)^{*}(X+I)^{-1}$ (1.1.4)  $Log(X) = 2^{*}(F/1 + F^{3}/3 + F^{5}/5 + ...)$ 

In the following I'll discuss the lower triangular "Pascalmatrix" **P** as argument for the powerseries. For the logarithmic series this is a special convenient case, since due to the subtraction **P**–**I** we sum powers of a nilpotent lower triangular matrix, whose diagonal is zero and the matrix-logarithm series is then finite for any finite dimension of the matrix. The matrix-logarithm of **P** is then a nilpotent matrix, too, which in turn reduces the exponential-series to a finite sum giving exact values for any finite matrix size.

The matrix-power of a matrix may then be defined as

 $(1.1.5.) \quad A^B = Exp(Log(A)^*B) = I + (Log(A) B)/1! + (Log(A)^*B)^2/2! + \dots$ 

where the findings in the following article suggest that the order of the multiplication  $Log(A)^*B$  might be preferred. This appears because the results are meaningful in and consistent with a wider context of similar scalar functional relations.

<sup>&</sup>lt;sup>1</sup> For considerations concerning convergence, optimization see for instance [Loan] or [MolerLoan]

<sup>&</sup>lt;sup>2</sup> see, for instance, [Cardoso]

#### 1.2. The (matrix)-logarithm of *P*

The matrix-logarithm of **P** can be defined for any finite size. Example for size 8x8:

 $(1.2.1) PL = Log(P) = matrix_{r,c=0.inf} (r \ if r=c+1)$   $\begin{bmatrix} 1 & 0 & . & . & . & P \\ 0 & 2 & 0 & . & . & P \\ 0 & 0 & 3 & 0 & . & . & . \\ 0 & 0 & 0 & 4 & 0 & . & . & . \\ 0 & 0 & 0 & 0 & 5 & 0 & . & . \\ 0 & 0 & 0 & 0 & 0 & 6 & 0 & . \\ 0 & 0 & 0 & 0 & 0 & 0 & 7 & 0 \end{bmatrix}$ 

(see [Helms,PL], [Edelman])

Since the entries are constant if the size is changed, we may also define the infinite sized matrix by this pattern.

#### **1.3.** A diagonalization-formula for *P*

For **P** of any finite size we cannot find a proper diagonalization satisfying the formula

(1.3.1.)  $P \sim = W^* diag([\lambda_0, \lambda_1, \lambda_2, ...])^* W^{-1}$ 

since all eigenvalues are 1 and the sets of eigenvectors are degenerate. (but see Appendix 2.3)

But for the case of infinite size we can find a solution with a non-invertible matrix  $\boldsymbol{W}$  such that

 $P \sim * W = W * diag([\lambda_0, \lambda_1, \lambda_2, \lambda_3, \dots])$ 

Namely

(1.3.2.) 
$$P \sim *W = W * diag([1, e, e^2, e^3, ...])$$
  
=  $W * {}^{d}V(e)$   
=  $W * E$  // give it the shorter name E

where W is the factorially scaled vandermondematrix <sup>3</sup>

(1.3.3.)  $W = matrix_{r,c=0..inf} (c^{r}/r!)$ 

With this we can calculate parallel with **P** and **E**, according to the diagonalizationrules applied to powers, linear combinations and such functions of **P** and **E**, which are defined by a powerseries-expansion.

Examples: (1.3.4.)  $P^{h} \sim {}^{*}W = W {}^{*}E^{h}$   $(P + P^{2}) \sim {}^{*}W = W {}^{*}(E + E^{2})$   $Log(P) \sim {}^{*}W = W {}^{*}Log(E) = W {}^{*}diag([0,1,2,3,4,...])$  $Exp(P) \sim {}^{*}W = W {}^{*}Exp(E)$ 

$$V(x) \sim * W = V(e^{x})$$

See also [wikipedia]

Tetration

<sup>&</sup>lt;sup>3</sup> Note, that a rescaled version of W is known as "Bell-" (or: transposed "Carleman-") matrix for the function exp(x) such that

# 1.4. Tetrates (iterated exponentials) of P

The tetrates of **P** may be recursively defined by

(1.4.1.)  $P^{\wedge 2} = P^{P} = Exp(Log(P)*P)$ (1.4.2.)  $P^{\wedge h+1} = P^{P^{\wedge h}} = Exp(Log(P)*P^{\wedge h})$ 

where also

(1.4.3.)  $P^{\wedge \Lambda 1} = P$ (1.4.4.)  $P^{\wedge \Lambda 0} = I$ 

We cannot define the inverse operation since the matrix-logarithm of P is not invertible.

[0....]

We get first **PL** \* **P**:

	1	0						
	2	2	0					
	3	6	3	0				
(1.4.5.) PL <sup>+</sup> P	4	12	12	4	0			
	5	20	30	20	5	0		
	6	30	60	60	30	6	0	
	7	42	105	140	105	42	7	0
then <b>P</b> ^^2	-							
		1 1	1	•		Г	2	

		1	1			D٢	$\sim$	1	)
		3	2	1		Γ.		-4	
(4.4.6)	$D^{\Lambda 2} = E_{\rm m} (DI * D) =$	10	9	3	1				
(1.4.6.)	$P^{-} = Exp(PL^{+}P) =$	41	40	18	4	1			
		196	205	100	30	5	1		
		1057	1176	615	200	45	6	1	
		6322	7399	4116	1435	350	63	7	1

then **P**^^3

$(1 \ 4 \ 7)$	$D^{AA3} - F_{VD}(DI * D^{AA2}) -$	1 1 3 16	1 2 9	1 3	1	P	-	5	3
(1.4.7.)	I = Exp(I L I) =	101	64	18	4	1			
		756	505	160	30	5	1		
		6607	4536	1515	320	45	6	1	
		65794	46249	15876	3535	560	63	7	1

then **P**^^^4

(1 4 9 )	$D^{AA} - E_{VD}(DI * D^{A3}) -$	1 1 3 16	1 2 9	1 3	1	P	9	2	1
		1176	625	160	30	5	1		
		12847	7056	1875	320	45	6	1	
		160504	89929	24696	4375	560	63	7	1

The first observation is, that all tetrates so far can be reduced to a simple definition based on the first column only, where the entries of the h'th tetrate are denoted with a small p, the height parameter h and row, col-indexes r, c

(1.4.9.)  $p^{(h)}_{r,c} = p^{(h)}_{r-c,0} * binomial(r,c)$ 

that means, only the first column has "significant" values and the subsequent columns are downshifted repetitions, scaled by binomials. See the following representation of  $P^{^{\Lambda 2}}$  as hadamard-product (elementwise multiplication)

$$(1.4.10) P^{\wedge 2} = \begin{bmatrix} 1 & \ddots & \ddots & \ddots & \ddots & \ddots \\ 1 & 1 & p & \ddots & \ddots & 2 \\ 3 & 2 & 1 & \ddots & \ddots & \ddots \\ 10 & 9 & 3 & 1 & \ddots & \ddots \\ 41 & 40 & 18 & 4 & 1 & \ddots \\ 196 & 205 & 100 & 30 & 5 & 1 \end{bmatrix} = \begin{bmatrix} 1 \times 1 & \ddots & \ddots & 1 \\ 1 \times 1 & 1 \times 1 & \ddots & 1 \\ 3 \times 1 & 1 \times 2 & 1 \times 1 & 1 \\ 10 \times 1 & 3 \times 3 & 1 \times 3 & 1 \times 1 & 1 \\ 10 \times 1 & 3 \times 3 & 1 \times 3 & 1 \times 1 & 1 \\ 196 \times 1 & 41 \times 5 & 10 \times 10 & 3 \times 10 & 1 \times 5 & 1 \times 1 \end{bmatrix}$$
$$= \begin{bmatrix} 1 & \ddots & \ddots & \ddots & \ddots & \ddots \\ 1 & 1 & 1 & \ddots & \ddots & \ddots \\ 1 & 1 & 1 & \ddots & \ddots & \ddots \\ 1 & 3 & 1 & 1 & \ddots & \ddots \\ 10 & 3 & 1 & 1 & \ddots & \ddots \\ 10 & 3 & 1 & 1 & \ddots & \ddots \\ 196 & 41 & 10 & 3 & 1 & 1 \\ 196 & 41 & 10 & 3 & 1 & 1 \end{bmatrix}_{\mathbf{R}} \begin{bmatrix} 1 & \ddots & \ddots & \ddots & \ddots & \ddots & \ddots \\ 1 & 1 & 3 & 3 & 1 & \ddots & \ddots \\ 1 & 1 & 3 & 3 & 1 & \ddots & \ddots \\ 1 & 4 & 6 & 4 & 1 & \ddots \\ 1 & 5 & 10 & 10 & 5 & 1 \end{bmatrix}$$

This hadamard-structure inherits to all other tetrates of *P*, as well as to that of infinite height (see below). For the interpretation of the numbers along column *0* see the reference to *[OEIS]*, *A000248* and *[Comtet]*, *pg 91*, *par. 43*, (keywords "*forests*", "*idempotent maps*")

#### 1.5. Use of coefficients for exponential generating function

If we use the factorial scaled tetrates of **P** 

 $fPF^{\wedge \wedge h} = F^{-1} * P^{\wedge \wedge h} * F$ 

and a further similarity-scaling by  ${}^{d}V(c)$ , where c = log(b) then the colsums give

$V(1) \sim * ( {}^{d}V(c) fPF^{\wedge h} {}^{d}V(1/c))$	$= exp_b^{\circ h}(1)*V(1)\sim$
$V(1) \sim * ( {}^{d}V(c) fPF^{\wedge h} [, 0])$	$= exp_b^{\circ h}(1)$

for instance b = 2, c = log(2),

 $V(log(2)) \sim fPF^{\wedge n^3}[0]$  // "[0]" means "first column" using zero-based index =  $2^{nn^3}$ =  $2^n 2^n 2 = 16$ 

Unfortunately, this works only for integer iterates.

On the other hand, this seems to give a first, very simple, however limited, access to the change-of-base-problem: for a fixed iteration-height it is easy to change the base by simply providing another vector of logarithms...

See more on this in chap 2.1 and 2.2

Tetration

# **1.6.** *P* tetrated to infinite height

It is much interesting, that we can define the infinite case,  $P^{\wedge 00}$  as limit using a simple pattern

(1.6.1.) 
$$PP = \lim_{h \to inf} P^{\wedge h} := matrix_{r,c=0..inf} \begin{cases} (r+1)^{r-1} & for \quad c=0\\ (r+1-c)^{(r-c)-1} \binom{r}{c} & for \quad r \ge c > 0\\ 0 & for \quad c > r \end{cases}$$

then **PP** looks like

$$(1.6.2) PP = \lim_{h \to 00} P^{hh} = \begin{bmatrix} 1 & . & . & . & . & . & . \\ 1 & 1 & . & . & . & . \\ 3 & 2 & 1 & . & . & . \\ 16 & 9 & 3 & 1 & . & . & . \\ 125 & 64 & 18 & 4 & 1 & . & . \\ 1296 & 625 & 160 & 30 & 5 & 1 & . \\ 16807 & 7776 & 1875 & 320 & 45 & 6 & 1 & . \\ 262144 & 117649 & 27216 & 4375 & 560 & 63 & 7 & 1 \end{bmatrix}$$
  
and also has the "hadamard"-pattern  
$$\begin{bmatrix} 1*1 & . & . & . & . \\ 1*1 & 1*1 & . & . & . \\ 16*1 & 3*3 & 1*3 & 1*1 & . & . \\ 125*1 & 16*4 & 3*6 & 1*4 & 1*1 & . \\ 1296*1 & 125*5 & 16*10 & 3*10 & 1*5 & 1*1 \end{bmatrix}$$

Then we have also

(1.6.3.)  $P^{PP} = PP$  // fixed point-formula Log(P)\*PP = Log(PP)

which finally leads to the matrix-analogue of the h()-function <sup>4</sup>

(1.6.4.)  $Log(P) = Log(PP) * PP^{-1}$  $P = PP^{PP^{-1}}$ (1.6.5.) PP = h(P)

and we find, that indeed if evaluated,

(1.6.6.)  $PP^{PP^{-1}} = P$ 

<sup>&</sup>lt;sup>4</sup> see [Galidakis] or [Knoebel]



The possibility to define such a matrix **PP** is surprising in the view of the diagonalization representation above. It means, that on the lhs we have a completely harmless looking lower triangular matrix with units in its diagonal, and on the rhs the tetrate of infinite height for parameters which are powers of *e*, and thus all except the first ( $e^0=1$ ) exceed the upper bound for convergent infinite tetration  $\eta = e^{1/e}$ . The entries of the according tetrates of **E** are

$$\begin{array}{ll} h=1 & E^{\wedge n} = diag(1, e, e^{2}, e^{3}, ...) \\ h=2 & E^{\wedge n} = diag(1, e^{e}, e^{2e^{2}}, e^{3e^{3}}, ...) \\ h=3 & E^{\wedge n} = diag(1, e^{e^{e}}, e^{2e^{2e^{2}}}, e^{3e^{3e^{3}}}, ...) \\ ... \\ h & E^{\wedge n} = diag(1, e^{\wedge n}, (e^{2})^{\wedge n}, (e^{3})^{\wedge n}, ...) \end{array}$$

where, if *h->inf* also all entries diverge to *inf*.

Then, from the fixedpoint-formula (1.4.3), we expect, that *PP* resembles the tetration-fixedpoint for the exp()-function; the first being about 0.318131 + 1.337235\*I and having an imaginary component!

Numerical computations with powers of P, say  $P^{log(m)}$ , where m=sqrt(2) or (for better convergence) m=1.1 (reflecting exp(log(m))=m as parameter of the E-diagonalmatrix on the rhs of the diagonalization-formula) approximated the expected values surprisingly well.

For the limit-case h->inf we need only h>matrixsize, since the entries of the matrix are constant in the  $h_0 \times h_0$ -submatrix for any h> $h_0$ . Moreover, the matrix  $PP_m$  to the basis m can exactly be computed as similarity-scaling of the original matrix PP (the same as  $P^m$  from P):

using 
$$\mu = log(m)$$
  
 $P^{\mu} = {}^{d}V(\mu) * P * {}^{d}V(1/\mu)$   
 $PP_{m} = {}^{d}V(\mu) * PP * {}^{d}V(1/\mu)$ 

Thus

(1.6.9.) 
$$PP_{m} := matrix_{r,c=0..inf} \begin{cases} (r+1)^{r-1} \mu^{r} & for \quad c=0\\ ((r-c)+1)^{(r-c)-1} \binom{r}{c} \mu^{r-c} & for \quad r \ge c > 0\\ 0 & for \quad c > r \end{cases}; \mu = \log(m)$$

With this for *m*=*sqrt(2)* and different sizes for the matrices:

(1.6.10.)	m=sqrt(2)	h>dim	$E_{dim}^{\wedge dim+1}$		
	$E_{16}^{\wedge 17} \approx diag($	1.0	1.9416	6.520 E 1	-4.325 E 3,)
	$E_{32}^{\wedge 33} \approx diag($	1.0	1.9897	2.992 E 4	-1.722 E 10 , )
	$E_{64}^{\wedge \wedge 65} \approx diag($	1.0	1.9993	4.75 E 13	-1.27 E 24 ,)
exact	$E^{\wedge \wedge inf} = diag($	1.0	2.0000	<inf></inf>	<inf> ,)</inf>

Using a base nearer at 1 we should get more finite terms for the  $E^{\wedge inf}$  – expression, according to the number of powers  $m^k$ , which are smaller than  $e^{1/e}$ . Here I use m=1.1, whose powers 1, m, ,  $m^2$  and  $m^3$  are smaller than  $e^{1/e}$  but  $m^4$  is greater and thus  $(m^4)^{\wedge inf}$  is an infinite expression:

(1.6.11.) m = 1.1

```
 \begin{split} & E_{64}{}^{\wedge 65} \approx diag(\,1.0,\,1.11178201104,\,1.27515965772,\,1.56385493139,\,\,3.1393,\,\,-561.07,\,\ldots) \\ & exact \quad E^{\wedge \wedge inf} \,=\, diag(\,1.0,\,1.11178201104,\,1.27515965772,\,1.56385493462,\,\,\,\,<inf > \,\,\ldots\,\,) \end{split}
```

I've currently no further idea, what this does tell me nor what this could be useful for.

# 1.7. Powers of *PP* (= $P^{\wedge inf}$ )

Also we have a very interesting, since simple, pattern with powers of **PP**:

(1.7.1.)	<i>PP</i> <sup>2</sup> =	1 2 8 50 432 4802 65536 1062882	1 24 200 2160 28812 458752	1 6 48 500 6480 100842	1 80 1000	10 120	1 12 168	).2 	2
(1.7.2.)	<i>PP</i> <sup>3</sup> =	1 3 15 108 1029 12288 177147 3000000	, 1 6 45 432 5145 73728 1240029	100042 1 9 90 1080 15435 258048	13120	1, 30	PF 1 18 315	).3      	·
(1.7.3.)	<i>PP</i> <sup>-1</sup> =	 1 -1 -4 -27 -256 -3125 -46656	1 -2 -3 -16 -135 -1536 -21875	1 - 3 - 6 - 40 - 405 - 5376	1 -4 -10 -80 -945		P:	).	· · · · · · · · · · · · · · · · · · · ·

The much interesting aspect is, that the sequence of entries in the first column follow a very simple pattern:

We might formally insert the definition:

(1.7.6.)  $PP^0 => 1,0,0,0,0,0,0,\dots = 0^*(r)^{r-1} // where 0^*0^{-1} = 1$  defined

With this we have the complete definition

(1.7.7.) 
$$PP_{m}^{k} := matrix_{r,c=0..inf} \begin{cases} k^{*} (r+k)^{r-1} \mu^{r} & for \quad c=0\\ k^{*} ((r-c)+k)^{(r-c)-1} {r \choose c} \mu^{r-c} & for \quad r \ge c > 0\\ 0 & for \quad c > r \end{cases}; \mu = \log(m)$$

where we define  $0^0 = 1, 0^* 0^{-1} = 1$ 

#### 1.8. Exponential of *PP*

One more step might be of interest: what does the exponential of **PP** look like? We get, by rational arithmetic on Exp(PP - I),

		1							
		1	1						
		4	2	1					
		26	12	3	1				
(1.8.1.)	Exp(PP - I) =	235	104	24	4	1			
		2727	1175	260	40	5	1		
		38699	16362	3525	520	60	6	1	
		649931	270893	57267	8225	910	84	7	1

where also

Exp(PP) = exp(1)\*Exp(PP-I)

The matrix has still the structure of the hadamard-product of a basic triangle which is determined by its first column only and the binomial-matrix **P**.

The numbers in the (only significant) first column are again known to OEIS, they are the coefficients of the exponential-generating-function of exp(-LW(-x)/x - 1). (see Appendix 3.4).

That means, if we use

EP = exp(1) \* Exp(PP - I) $fEPF = {}^{d}F^{-1} * EP * {}^{d}F$ 

We get

 $V(x) \sim * fEPF = exp(-LW(-x)/(-x)) * V(x) \sim$ 

or differently written

 $Vf(x) \sim *EP = exp(LW(-x)/(-x)) * Vf(x) \sim$ 

# 2. Application for tetration

#### 2.1. General

The observation mentioned in chap 1.5 leads to another set of powerseries for tetration.

For the case of infinite height it occurs empirically, that we have a range of admissible bases in the usual sense  $1 < b \le e^{1/e}$ , but for the case of finite height this might look differently. Assume a base *b* and denote c=log(b), then the following table gives the beginning of the powerseries **in** log(b) (!) for few small heights;

	h=0	h=1	h=2	h=3	h=4	h=5	h=6	h=7	 h->inf
c <sup>0</sup> /0! *	1	1	1	1	1	1	1	1	 1-1
c <sup>1</sup> /1! *	0	1	1	1	1	1	1	1	 2 <sup>0</sup>
c <sup>2</sup> /2! *	0	1	3	3	3	3	3	3	 3 <sup>1</sup>
c <sup>3</sup> /3! *	0	1	10	16	16	16	16	16	 4 <sup>2</sup>
c <sup>4</sup> /4! *	0	1	41	101	125	125	125	125	 5 <sup>3</sup>
c⁵/5! *	0	1	196	756	1176	1296	1296	1296	 6 <sup>4</sup>
c <sup>6</sup> /6! *	0	1	1057	6607	12847	16087	16807	16807	 7 <sup>5</sup>
c <sup>7</sup> /7! *	0	1	6322	65794	160504	229384	257104	262144	 8 <sup>6</sup>
c <sup>8</sup> /8! *	0	1	41393	733833	2261289	3687609	4480569	4742649	 9 <sup>7</sup>
c <sup>9</sup> /9! *	0	1	293608	9046648	35464816	66025360	87238720	96915520	 10 <sup>8</sup>

Here we see, how the sequence of series converges (in a completely unusually and unexpected way) to a limit-series when h is increased: the coefficients at the beginning remain constant for heights h->oo. This is a special property which I've not seen with other series in the context of tetration.

We see in the column for the limit *h->inf* the known powerseries for  $b^{1/b}$  with the known range of convergence  $|b| \le e^{1/e}$  which agrees with all expectation.

The series at finite heights, however, show "defects" from a current indexposition k=h on (marked orange); and for all higher indexes this defect increases. So possibly we can even show, that this family of series has infinite radius of convergence if h is finite.

To get another impression, here is the sequence of series for base b=2,  $c=log(2)\sim 0.693...$ 

	h=0	h=1	h=2	h=3	h=4	h=5	h=6	h=7	 ->inf
1/0! *	1.0	1.0	1.0	1.0	1.0	1.0	1.0	1.0	 1-1
1/1! *	0	0.69314718	0.69314718	0.69314718	0.69314718	0.69314718	0.69314718	0.69314718	 c*2 <sup>0</sup>
1/2! *	0	0.24022651	0.72067952	0.72067952	0.72067952	0.72067952	0.72067952	0.72067952	 c <sup>2</sup> *3 <sup>1</sup>
1/3! *	0	0.055504109	0.55504109	0.88806574	0.88806574	0.88806574	0.88806574	0.88806574	 c <sup>3</sup> *4 <sup>2</sup>
1/4! *	0	0.0096181291	0.39434329	0.97143104	1.2022661	1.2022661	1.2022661	1.2022661	 c <sup>4</sup> *5 <sup>3</sup>
1/5! *	0	0.0013333558	0.26133774	1.0080170	1.5680264	1.7280291	1.7280291	1.7280291	 c <sup>5</sup> *6 <sup>4</sup>
1/6! *	0	0.00015403530	0.16281532	1.0177113	1.9788915	2.4779659	2.5888714	2.5888714	 c <sup>6</sup> *7 <sup>5</sup>
1/7! *	0	0.00001525273	0.096427783	1.0035384	2.4481248	3.4987331	3.9215389	3.9984127	 c <sup>7</sup> *8 <sup>6</sup>
1/8! *	0	0.00000132154	0.054702864	0.96979603	2.9884035	4.8733548	5.9212900	6.2676415	 c <sup>8</sup> *9 <sup>7</sup>
1/9! *	0	0.0000010178	0.029883675	0.92077561	3.6096395	6.7201179	8.8792320	9.8641450	 c <sup>9</sup> *10 <sup>8</sup>

For *h->inf* the resulting series is known to be divergent; for *h<4* we see that the coefficients decrease after a certain maximum; and so the series for finite heights should be convergent if log(b)=c < 1. and possibly even for higher *c*. I assume it can be shown, that this occurs at some index in the series for any finite height – but I could not yet study this detail.

Here is an image to illustrate the progressive index, from which on a the coefficients of a powerseries for a certain h (read along the h'th column) begin to decrease. See the "nose" which nicely mark the position at which the absolute value is smaller than *1e-9* For illustration I used the convergent base *b*=*sqrt*(2); but in principle, for higher bases there should only a scaling (or: shifting of the related indexes), occur:

#### Image



One remarkable difference to the type of series, which I defined in **[ExactEntries]**<sup>5</sup>, is already, that the constant remains the same for all heights, while in the series discussed in **[ExactEntries]** the constant in the series for height *h* is always  $b^{\wedge\wedge}(h-1)$ . This must have an impoact also for the fractional iterates.

For h=2 the series, found by the two methods begin with the coefficients, shown in the columns, where I inserted x=1 for the top-parameter in the **[ExactEntries]**-version, which does not occur in the version of this article

	[exact entries]	[this article]	
1*	b	1	
1/1!*	b log(b) (log(b))	1 log(b)	
1/2!*	$b \log(b)^2 (\log(b) + \log(b)^2)$	3 log(b) <sup>2</sup>	
1/3!*	b log(b) <sup>3</sup> (1log(b)+3log(b) <sup>2</sup> +1log(b) <sup>3</sup> )	10 log(b) <sup>3</sup>	
1/4!*	$b \log(b)^{4} (1\log(b)+7\log(b)^{2}+6\log(b)^{3}+1\log(b)^{4})$	41 log(b) <sup>4</sup>	

<sup>&</sup>lt;sup>5</sup> see refernece in chap 3

# 2.2. Fractional iteration

Using the binomial-method for interpolation we can even establish a method for the full fractional tetration; however I didn't study the convergence-conditions yet. Let's call the shown tables of coefficients as matrix *M*; the list of first columns of tetrated pascalmatrixes:

$$M = concat(P^{^{\wedge 0}}_{[,0]}, P^{^{\wedge 1}}_{[,0]}, P^{^{\wedge 2}}_{[,0]}, ...]$$

such that

$$V(log(b)) \sim *M = [1, b, b^{^{\wedge 2}}, b^{^{\wedge 3}}, ...]$$

Then the binomial-formula for fractional tetration requires two binomial compositions of the columns of *M*:

 $M1=M^*P^{-1}\sim$ 

reflecting the part

$$a_{k} = \left(\sum_{j=0}^{k} \left( (-1)^{k-j} \binom{k}{j} b^{n} \right) \right)$$

in the known binomial formula for fractional tetration; and the coefficients for the powerseries of  $b^{\wedge h}$  for fractional *h* is then the first column of

$$T_h = M1 * Bin(h)$$

where *Bin(h)* is the column-vector of binomials

Bin(h) = colvector<sub>k=0,inf</sub>( binomial(h,k))

reflecting the composition of  $b^{\wedge h}$  by

$$b^{\wedge h} = \sum_{k=0}^{\inf} \binom{h}{k} a_k$$

in the binomial-formula.

Note, that until now  $T_h$  is a vector of coefficients which is still independent of the base for tetration!

We will have  $b^{\wedge h}$  now by

 $b^{\wedge h} = V(log(b)) \sim^* T_h$ 

Then not only the powerseries for integer heights look different from my previous approaches, but also that for the fractional iterates have a completely different shape.

# 2.3. Conclusion

If I got things right so far, then this is another very remarkable result: in all formulas concerning tetration, which I came across, the base-parameter was nearly intractably involved in the coefficients of the powerseries. If all the above is correct (at least for a certain range of parameter b), then we have a much independent family of powerseries for (and thus also a much different access into) the problem of tetration.

# 3. Appendix

# 3.1. Standard matrices

The involved standard-vectors and matrices are as follows. All matrices/vectors are meant to have infinite size.

V(x)	$:= column_{r=0.inf}[1, x, x^2, x^3,, x^r,]$
	an infinite "vandermonde" (column-) vector of a general x
V(x)~	:= the transpose ; the symbol is taken from the convention in Pari/GP
dV(x)	:= the diagonal arrangement of V(x)

The column- and rowindices are beginning at zero

F f Vf(x) = f	:= diag(0!,1!,2!,) := F <sup>-1</sup> = diag(1, 1, 1/2!, 1/3!,1/4!,) allows to define the exponential-sert *V(x) = column <sub>r=0.inf</sub> [1, x, x <sup>2</sup> /2!, x <sup>3</sup> /3	ies ?! , x <sup>r</sup> /r! , ]	1	1		
Matrio	ces					
VZ	:= matrix <sub>r=0inf,c=0inf</sub> [c <sup>r</sup> ] := [V(0),V(1),V(2),] the collection of V()-vectors of conse	ecutive parameters	1 0 0 0 0	1 1 1 1 1	1 1 2 3 4 9 8 27 16 81	1 4 16 64 256
Р	:= matrix <sub>r=0inf,c=0inf</sub> [binomial(r,c)] the lower-triangular Pascal-matrix		1 1 1 1 1	1 2 3 4	· · · P. 1 · P. 3 1 · · 6 4 1	
S2	:= matrix <sub>r=0inf,c=0inf</sub> [s2 <sub>r,c</sub> ] // s2 Stirling-numbers 2 <sup>nd</sup> kind		1 0 0 0	1 1 1 1	S:2 3 1 7 6 1	
S1	:= matrix <sub>r=0inf,c=0inf</sub> [s1 <sub>r,c</sub> ] // s1 Stirling-numbers 1 <sup>st</sup> kind		1 0 0 0 0	1 -1 2 -6	1 -3 1 11 -6	1
fS2F fS1F	:= f * S2 * F // in other t := f * S1 * F	exts denoted as " <b>U</b> " f	for "U	I-tei	tration"	

// similarity-scalings for operation with vandermonde vectors

*S1* = *S2*<sup>-1</sup> // *see, for instance* [*A*&*S*]

W .=  $f * VZ = fS2F * P \sim // in other texts denote as "$ **B**" for "T-tetration"

# 3.2. Addendum concerning diagonalization of P

Though I didn't formulate the complete proof, it may be worth to notice here, that a diagonalization of **P** by a left-eigenmatrix (or of **P**~ by a right one) for the case of infinite dimension can be defended.

We can exploit the fact, that the matrix W can be factored into two triangular matrix-factors, which are separately invertible; in my notation:

The factors are invertible, and for *S2* we use *S1* as inverse<sup>6</sup>:

 $(3.2.3.) \quad fS2F^*fS1F = fS1F^*fS2F \\ = F^{-1} S1 F F^{-1} S2 F \\ = F^{-1} S1 S2 F \\ = F^{-1} I F \\ = I \\ (3.2.4.) => fS2F^{-1} = fS1F$ 

and for the possibility of inversion of **P** we don't need a long derivation.

Then the initial setup

 $P \sim * \quad W \qquad = \quad W \quad *E$ 

can be expanded and rewritten to

 $\begin{array}{rcl} (3.2.5.) & P \sim {}^{*} fS2F {}^{*} P \sim & = fS2F {}^{*} P \sim {}^{*} E & // expand W \\ (3.2.6.) & F {}^{*} P \sim {}^{*} fS2F {}^{*} P \sim & = S2 {}^{*} F^{*} P \sim {}^{*} E & // premultiply by F \\ & H & {}^{*} P \sim & = \dots \end{array}$ 

Here we look first at the product  $H = F * P \sim fS2F$  and find that the entries of this product are all derivatives of the function exp(x)-1 and of all its powers evaluated at x=1. Thus, although  $P \sim$  is not rowfinite and fS2F not columnfinite we have analytically usable (known) entries in the result H of the matrix-product.

Next, the left-multiplication with *S1* is possible without a convergence-problem because *S1* is rowfinite, so we can write:

 $(3.2.7.) \quad S1 * H * P \sim = F^* P \sim * E$ 

// 2.2.6 premultiplied by S1

But moreover, the crucial point is, that also the product

S1 \* H

is (upper) triangular and thus column-finite (heuristic, not yet proven). This allows to proceed with the reciprocals of the remaining second and the third factors on the rhs : the premultiplication by  $F^{-1}$  (which is diagonal and can always be used) and finally  $P^{-1}$ ~ is thus possible, gives again exact values and provides the sought diagonalization of P. In short we did:

(3.2.8.)	<i>P</i> -1~ <i>F</i> -1 <i>S</i> 1 * <i>H</i> * <i>P</i> ~	=	E
	P-1~ fS1F * P~ * fS2F P~	=	E
(3.2.9.)	P-1~ (fS1F * P~ * W)	=	E

We cannot write  $W^{-1}$  for  $(P^{-1} \sim * fS1F)$  as first factor in the above (because of an occuring infinite sum (zeta(1))), but must indicate the order of computation by

<sup>&</sup>lt;sup>6</sup> concerning the reciprocal relation between Stirlingnumbers 2<sup>nd</sup> and 1<sup>st</sup> kind see for instance [A&S]

parentheses; and must stay with that we cannot use associativity to change order of computation.

# 3.3. Combinatorical interpretations in tetrates of *P*

Interestingly, the tetrates of **P** are related to some combinatorical properties. We find the entries of the first column of  $P^{\wedge h}$  for h=2..5 in the OEIS

$P^{\wedge \wedge 2}$	<u>A000248</u>	1, 1, 3, 10, 41, 196,
		Number of forests with n nodes and height at most 1.
<i>P^^3</i>	<u>A000949</u>	1, 3, 16, 101, 756, 6607, 65794, 733833, 9046648,
		Number of forests with n nodes and height at most 2.
$P^{\wedge \wedge 4}$	<u>A000950</u>	1, 3, 16, 125, 1176, 12847, 160504,
		Number of forests with n nodes and height at most 3.
$P^{\wedge \wedge 5}$	<u>A000951</u>	1, 3, 16, 125, 1296, 16087, 229384, 3687609,
		Number of forests with n nodes and height at most 4.
	()	( increasing number of leading entries become constant)
P <sup>^^</sup> inf	<u>A000272</u>	1, 1, 1, 3, 16, 125, 1296, 16807, 262144,
		Number of trees on n labeled nodes: n^(n-2).

See: "Online encyclopedia of Integer sequences, N.J.A. Sloane"

http://www.research.att.com/~njas/sequences/A000248 http://www.research.att.com/~njas/sequences/A000949 http://www.research.att.com/~njas/sequences/A000950 http://www.research.att.com/~njas/sequences/A000951 http://www.research.att.com/~njas/sequences/A000272

# 3.4. Entry in OEIS for *Exp(PP - I)* :

A124824	LambertW analog of the Bell numbers:
	$a(n) = (1/e)^{5}um_{k>=0} k^{(n+k)}(n-1)/k!$ for n>0 with $a(0)=1$ .
1, 1, 4,	26, 235, 2727, 38699, 649931, 12616132, 278054700, 6861571205, 187474460527,
E.g.f.: <i>A</i> E.g.f.: <i>A</i>	A(x) = exp(L(x) - 1), where L(x) = -LambertW(-x)/x Vladeta Jovovic, Nov 10 2006 A(x) = exp( Sum {n>=1} (n+1)^(n-1)*x^n/n! ).
a(n) =	Sum_{k=0n} C(n-1,k-1)*n^(n-k)*Béll(k).
More generally	y: e.g.f. $B(x,m) = \exp(L(x)^m - 1)$ generates the sequence:
a(n) =	Sum_{k=0n}m^k* C(n-1,k-1)*n^(n-k)*Bell(k)
and also	(1/a)*C [lay _0]**la*(*la)^(
a(n) =	(1/e)"Sum_{K>=0} m"k"(n+m"K)"(n-1)/K! for n>0 with a(0)=1. - Vladeta Joyovic and Paul Hanna Nov 10 2006
EXAMPLE	
A(x) =	1 + x + 4*x^2/2! + 26*x^3/3! + 235*x^4/4! + 2727*x^5/5! +
E.g.f.: l	og(A(x)) = L(x) - 1, where $L(x) = -LambertW(-x)/x$ , or,
L(x) =	$1 + x + 3^{*}x^{2}/2! + 16^{*}x^{3}/3! + + (n+1)^{(n-1)*}x^{n}/n! +$
Since L(x)^k =	$Sum_{n>=0} k^{(n+k)^{n-1}*x^n/n!}$ , for all k, then the series representation of the g.f. is derived from:
A(x) =	$(1/e)*Sum_{k>=0} Sum_{n>=0} k*(n+k)^{(n-1)/k}*x^n/n!$
DDOCDAM	so that $a(n) = (1/e)^{5} um_{k>=0} k^{(n+k)}(n-1)/k!$ with $a(0)=1$ .
(PARI)	a(n)=n; poicoen(exp(sum(ni=0, n, (ni=1) (ni=1) x m/ni;j=1, n))
(PARI)	$\{a(n)=if(n=0, 1, sum(k=0, n, binomial(n-1, k-1)*n^{(n-k)*k!* polcoeff(exp(exp(x+x*O(x^k))-1), k)))\}$
( )	
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#### Projectindex

<u>http://go.helms-net.de/math</u> <u>http://go.helms-net.de/math/tetdocs</u> [ExactEntries] <u>http://go.helms-net.de/math/tetdocs/TTetrationExactEntries\_short.htm</u>

Gottfried Helms, 16.3.2009