

2-step-cycles in the $mx+1$ -problem

1. Basic notation and formulae

We look at a two equation-system in positive integers a, b, m, A, B , where $-$ -being a generalization for the Collatz-problem when $m=3$ - only **positive odd** a, b, m are considered:

$$(1.1) \quad b = (m \cdot a + 1) / 2^A \qquad a = (m \cdot b + 1) / 2^B \qquad \text{where } A, B = v_2(m \cdot a + 1), v_2(m \cdot b + 1) \text{ respectively}$$

We define also (in accordance to my other articles on the Collatz-problem) the symbols $N=2$ (2 steps), and $S=A+B$.

The trivial **product-equation** $a \cdot b = (m \cdot a + 1) / 2^A \cdot (m \cdot b + 1) / 2^B$ leads to a formula which allows easily the determination of m from given S (the application is under (2.1)):

$$(1.2) \quad \begin{aligned} 2^S &= (m+1/a) \cdot (m+1/b) & \text{or} \\ 2^S &= m^2 + m(1/a+1/b) + 1/(a \cdot b) \end{aligned}$$

Using (1.1) and inserting and expanding we get a determination-formula for a and b from S and m :

$$(1.3) \quad \begin{aligned} a &= (m \cdot ((m \cdot a + 1) / 2^A) + 1) / 2^B \\ a &= (m^2 a + m + 2^A) / 2^{A+B} \\ a(2^S - m^2) &= m + 2^A & \text{====>} \\ a &= (m + 2^A) / (2^S - m^2) & \text{and accordingly} \\ b &= (m + 2^B) / (2^S - m^2) \end{aligned}$$

2. Possible values for m depending on S

The possibility of determination of m from S comes from the fact, that formula (1.2) gives intervals of $0..1$ by intervals of $1..oo$ for a and b .

a) For the **smallest** numbers combination $(a, b) = (1, 1)$ evaluation of the first form of (1.2) gives

$$(2.1) \quad 2^S = (m+1)^2$$

So we must have $m+1=2^{S/2}$ and demanding that m is integer and odd this requires

1) S must be even, say $S=2 \cdot T$ and

2) m is one below 2^T : $m=2^T-1$.

Note: if $a=b$ then of course always also $A=B$ and thus $S=2 \cdot A$ must be even.

b) For the **largest** numbers combination $(a, b) = (oo, oo)$ we get this as limit case

$$(2.2) \quad 2^S = (m+1/oo)^2 = m^2$$

and of course for all positive integer selections of $(a, b) \in N \setminus 2$ we have then

$$(2.3) \quad \begin{aligned} m^2 &< 2^S \leq (m+1)^2 \\ m &< 2^{S/2} \leq m+1 \end{aligned}$$

This gives -for even or odd S -, that

$$(2.4) \quad m = \text{floor}(2^{S/2}).$$

Note: we don't look at $m=\text{ceil}(2^{S/2})$ at the moment because this would lead to discussion of negative values in (a, b)

The above derivation shows,

- first, that we need not consider **all** natural numbers as candidates for m , but that we can use the values S and derive the possible values m .

- second, that we need only look at odd S after we know, that for even S we get $m=2^{S/2}-1$ and for that values we'll get the only solutions $a=b=1$, the trivial cycle.

- There is a third reductive rule: we want only consider odd m . This leaves us finally with a set of pairs

$$(2.5) \quad (S, m) \in \{ (1, 1), (5, 5), (7, 11), (11, 45), (15, 181), (27, 11585), (33, 92681), (35, 185363), \dots \}$$

3. Checking for 2-step-cycles in the reduced set of possible cases

The first approach -for small numbers S - is now, to insert acceptable values for the pair (S, m) and check numerically the possible existence of 2-step-cycles.

"Exponents-method": We can test along the exponents $A < B$ (of course with $A+B=S$ or $B=S-A$). For this we can use the determination-formula for the elements a (and b)

$$(3.1) \quad \begin{aligned} & \text{[from (1.3):]} \\ a &= (m+2^A) / (2^S - m^2) & b &= (m+2^{S-A}) / (2^S - m^2) \end{aligned}$$

and for each S insert successively integer values values for A in $1 \dots S/2$. For instance for $S=35$, $A=1..17$ this needs $t_{35}=17$ numerical tests and can thus detect the small-number-solutions $(S, m) = (5, 5)$, $(a, b) = (1, 3)$ and $(S, m) = (15, 181)$, $(a, b) = (27, 611)$ and $(a, b) = (35, 99)$ and find that up to $m=1.8 \text{ E}5$ that there are no more solutions using only $t_{\text{all}} = 1+2+3+5+7+13+16+17 = 64$ numerical tests.

"Mean-method": A second option is to use the product formula $2^S = (m+1/a)(m+1/b)$ and follow the searchspace for the (smaller) element $a < b$ which has an upper bound a_m by $2^S = (m+1/a_m)(m+1/a_m) = (m+1/a_m)^2$

$$(3.2) \quad 1 < a < a_m = 1 / (2^{S/2} - m)$$

This gives for the examples $S \leq 35$ the list

Table (3.3)

S	m	a_m	a	$t_s = \# \text{ of tests}$
1	1	2.41421356237	1	1
5	5	1.52240774993	1	1
7	11	3.18767264271	1,3	2
11	45	3.92412321721	1,3	2
15	181	51.7170479977	1,3,5,...,51	25
27	11585	4.21047383299	1,3	2
33	92681	1.11108187341	1	1
35	185363	1.24992599452	1	1
				sum: $t_{all} = 35$

and this needs $t_{all} = 35$ tests which is less than with the previous method.

"Combined": Combining that two methods, first computing the upperbound a_m , and if a_m is small, then apply the "mean-method" and if $a_m > S$ then apply the "exponent-method". We need then only $t_{all} = 17$ tests to arrive at our result for S up to 35. (If we look at S up to $S = 299$, we need $t_{all} = 164$ tests to disprove any 2-step-cycle for $181 < m < 1e45$ and if we look up to $S = 2995$ we need $t_{all} = 2951$ tests for this disproof up to $m < 6.2E450$).

Unfortunately, for this problem-configuration I don't see any possibility to apply something like the 1-cycle-disproof for the $3x+1$ -problem according to the Steiner/Simons - method with the Rhin-bound for the distance $S \cdot \log(2) - N \cdot \log(3)$. The Rhin-bound depends on parameter N and supplies some upperbound for N , while we have here a fixed value for $N = 2$ in the case before us.

4. How to handle the lack of an analytical bound for S or m to small numbers?

Combining the two steps in (1.1) we can derive equations for a and b as given above from (1.3):

$$(4.1) \quad a = (m+2^A)/(2^S - m^2) \quad b = (m+2^{S-A})/(2^S - m^2)$$

In this equations we find, that if there is a 2-step-cycle then a and b are integer, and the terms $m+2^A$ as well as $m+2^B$ must be divisible by the denominator $2^S - m^2$. I didn't succeed yet to find an analytical argument that allows to deduce the impossibility of such integrality by the parameter S (and/or m) alone. Fixing $a = 1$ allows however a disproof for 2-step-cycles other than for $(S,m) = (5,5)$, but no success for keeping a indetermined. A proof for the nonexistence of solutions $S > 5$ has been developed in an answer to a question of mine in the forum MathOverflow.

"Cyclic group-method": Towards the generalization to both a and b to be left indeterminate, a better approach seems to me now is to look at the difference $b-a$, which cancels the depending variable m in the numerator:

$$(4.1) \quad b-a = (2^{S-A} - 2^A)/(2^S - m^2) = 2^A \cdot (2^{S-2A} - 1)/(2^S - m^2)$$

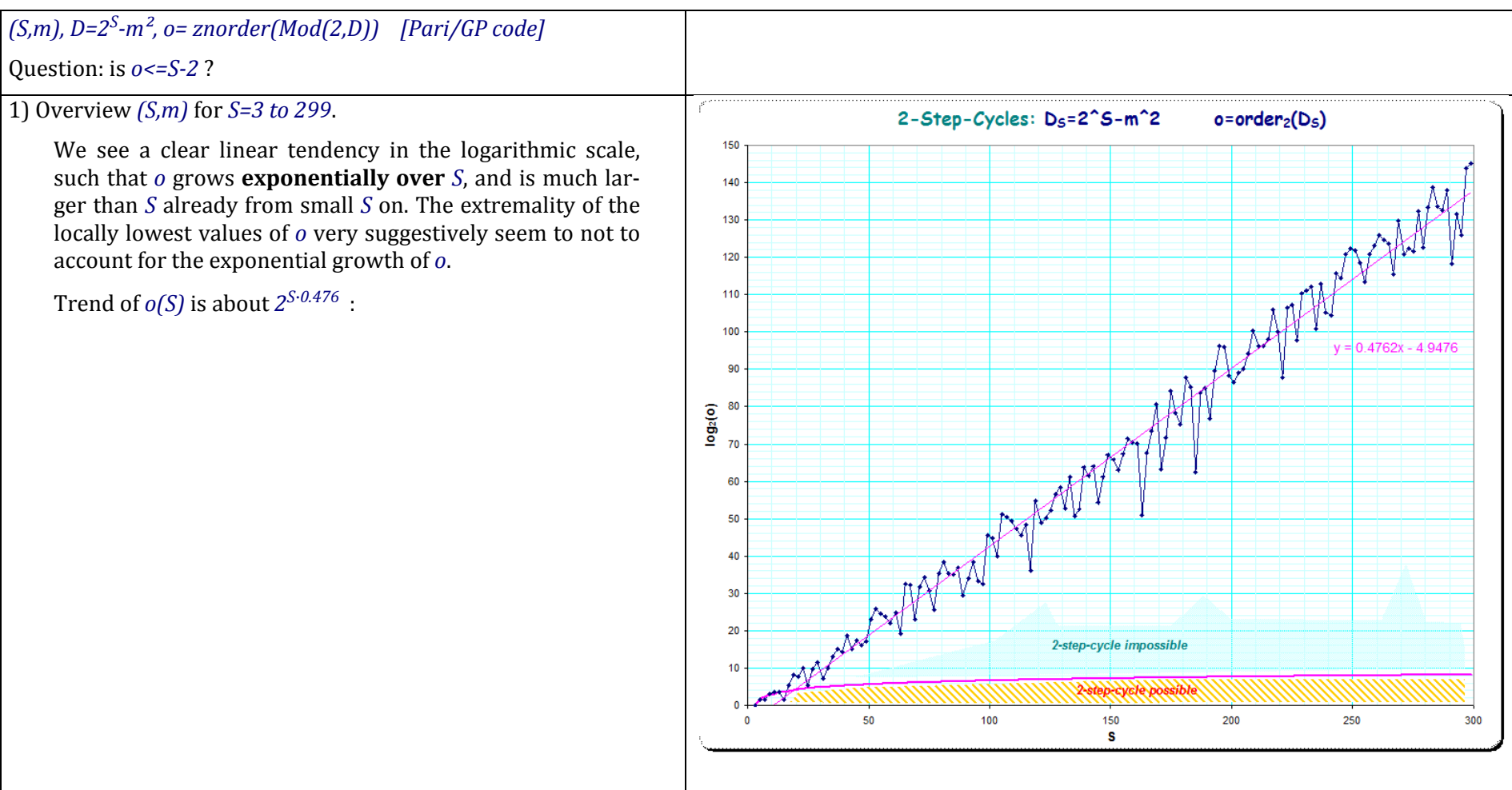
Let's denote the overall important denominator's structure: $D = 2^S - m^2$. Then, because the numerator must be divisible by D , we have the property that the exponent $(S-2A)$ in the numerator's parenthese must equal the multiplicative order of D to base 2, or equal a multiple of it:

$$o = \text{order}_2(D) \quad // = \text{multiplicative order } D \text{ to base } 2 \text{ with } D | 2^o - 1$$

$$S - 2A = k \cdot o$$

$$(4.2) \implies o \leq S - 2$$

Here we see, that the upper bound for o is $S-2$ to make a 2-step-cycle possible at all. But looking empirically at that values for o we find an **exponential** relation between o and S , with the only four examples of $o \leq S-2$ and 2-step-cycles only for $(S,m) = (5,5)$ and for $(S,m) = (15,181)$ (where the latter configuration allows even **two** 2-step-cycles). See two pictures, plotting empirical data up to $S = 299$ (data table at the end of the paper).

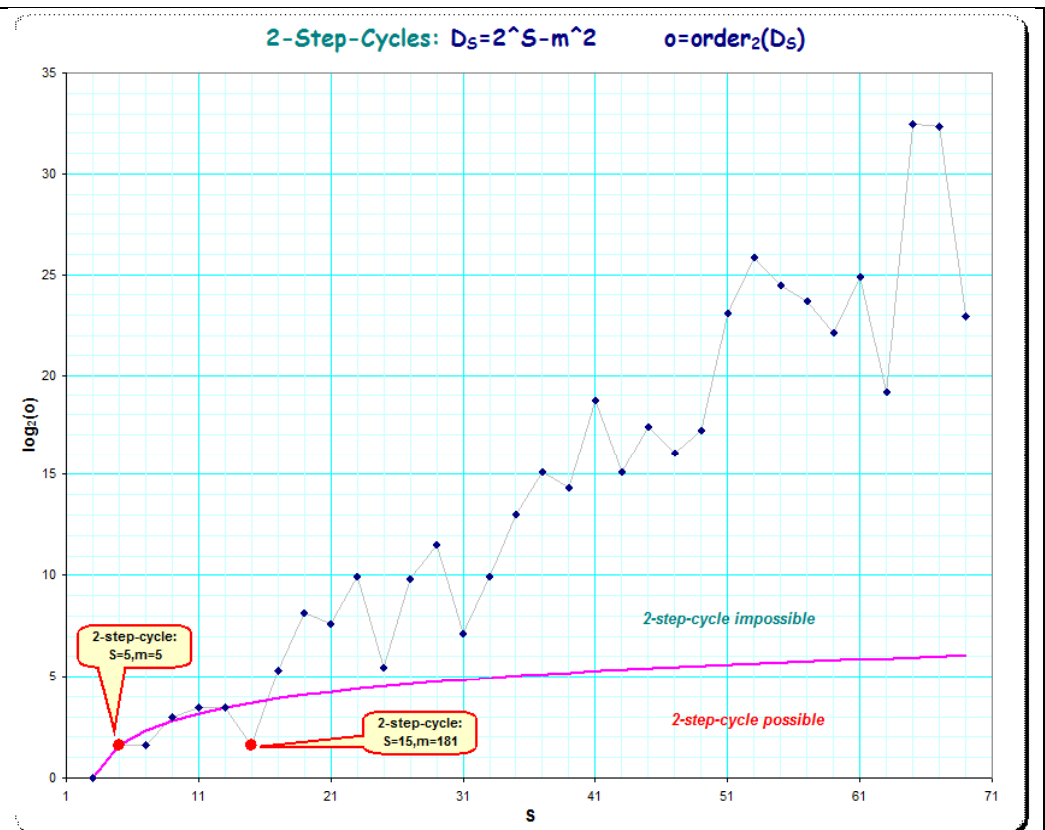


2) Detail for small S

We see (blue vs pink line) that the only cases where $o \leq S-2$ are that at

$S=5$: $(S,m)=(5,5)$ $o=3$,
 $S=7$: $(S,m)=(7,11)$ $o=3$,
 $S=13$: $(S,m)=(13,91)$ $o=11$,
 $S=15$: $(S,m)=(15,181)$ $o=3$

where only $(S,m)=(5,5)$ and $(S,m)=(15,181)$ have one or two 2-step-cycles.



Unfortunately, no approximation bound for 2^S to m^2 is known to me; for getting some insight I transformed that problem to one of the bit-patterns of the integer and fractional parts of $2^T \cdot \text{sqrt}(2)$, but again I've been missing any usable upper or lower bounds in terms of T so far.

6. Cycles in generalizations of the type $m \cdot x + 1$ /heuristic by author 10'2020

```
forstep(j= 3,19999,2,tmp=checkloop(j,1000,30,+1);if(tmp==[],next());print(j," ",tmp))
forstep(j= 3, 9999,2,tmp=checkloop(j,1000,30,-1);if(tmp==[],next());print(j," ",tmp))
```

Cycles in Collatz-type iterations for positive and for negative numbers a_k ,

$$a_{k+1} = (m \cdot a_k + 1) / 2^A \quad \text{cycle of length } N \text{ by } a_{N+1} = a_1$$

Tested: bases $m < 20000$ $a_1 < 1000$ length $N < 30$ (G.Helms, 5'2016)

Tested: $S_{2..1999}$: bases $m \leq 7.5E300$ for $N=2 \dots$ $m \leq 1.14E20$ for $N=30$; main criterion: $1 \leq a_1 < a_m$ (G.Helms, 10'2020)

base m		positive integers	negative integers		comments
$m = 2^k - 1$	3	[1,1,...]	[-1, -1,...] [-5, -7, \ -5, ...] [-17, -25, -37, -55, \ -41, -61, -91, \ -17, ...]	N=2 1-cycle N=7 2-cycle	
	7	[1,1,...]			$2^3 = (7+1)$
	15	[1,1,...]			$2^4 = (15+1)$
	31	[1,1,...]			$2^5 = (31+1)$
	63	[1,1,...]			$2^6 = (63+1)$

	16383	[1,1,...]			$2^{15} = (16383+1)$

$m = 2^k + 1$	3	[1,1,...] (see above)	[-1, -1,...] (see above) [-5, -7, \ -5, ...] [-17, -25, -37, -55, \ -41, -61, -91, \ -17, ...] (see above)	N=2 1-cycle N=7 2-cycle	$2^2 = (3+1)$ $2^1 = (3-1)$ $2^3 = (3-1/5)(3-1/7)$ $2^{12} = (3-1/17)(3-1/25) \dots (3-1/91)$
	5		[-1, -1, ...]		
		[1, 3, \ 1, ...] [13, 33, 83, \ 13, ...] [17, 43, 27, \ 17, ...]		N=2 1-cycle N=3 1-cycle N=3 2-cycle	$2^5 = (5+1)(5+1/3)$ $2^7 = (5+1/13)(5+1/33)(5+1/83)$ $2^7 = (5+1/17)(5+1/43)(5+1/27)$
	9		[-1, -1, ...]		$2^3 = (9-1)$
	17		[-1, -1, ...]		$2^4 = (17-1)$
	33		[-1, -1, ...]		$2^5 = (33-1)$

	8193		[-1, -1, ...]		$2^{13} = (8193-1)$

	other m	181	[27, 611, \ 27, ...] [35, 99, \ 35, ...]		N=2 1-cycle N=2 1-cycle
? >20000					

The cycles $m=5 : [13,33,83]$ and $m=181 : [27,611]$ are also mentioned by [Crandall 1978]

Note that for $m=181$ we have that $m^2 = 181^2 = 32761$ is very near $2^{15} = 32768$