

## 2-step-cycles in the $mx+1$ -problem

### 1. Basic notation and formulae

We look at a two equation-system in positive integers  $a, b, m, A, B$ , where -being a generalization for the Collatz-problem when  $m=3$  - only **positive odd**  $a, b, m$  are considered:

$$(1.1) \quad b = (m \cdot a + 1)/2^A \quad a = (m \cdot b + 1)/2^B \quad \text{where } A, B = v_2(m \cdot a + 1), v_2(m \cdot b + 1) \text{ respectively}$$

We define also (in accordance to my other articles on the Collatz-problem) the symbols  $N=2$  (2 steps), and  $S=A+B$ .

The trivial **product-equation**  $a \cdot b = (m \cdot a + 1)/2^A \cdot (m \cdot b + 1)/2^B$  leads to a formula which allows easily the determination of  $m$  from given  $S$  (*the application is under (2.1)*):

$$(1.2) \quad \begin{aligned} 2^S &= (m+1/a) \cdot (m+1/b) \\ 2^S &= m^2 + m(1/a+1/b) + 1/(a \cdot b) \end{aligned} \quad \text{or}$$

Using (1.1) and inserting and expanding we get a determination-formula for  $a$  and  $b$  from  $S$  and  $m$ :

$$(1.3) \quad \begin{aligned} a &= (m \cdot ((m \cdot a + 1)/2^A + 1)/2^B) \\ a &= (m^2 a + m + 2^A)/2^{A+B} \\ a(2^S - m^2) &= m + 2^A \quad \Rightarrow \\ a &= (m + 2^A)/(2^S - m^2) \quad \text{and accordingly} \\ b &= (m + 2^B)/(2^S - m^2) \end{aligned}$$

### 2. Possible values for $m$ depending on $S$

The possibility of determination of  $m$  from  $S$  comes from the fact, that formula (1.2) gives intervals of  $0..1$  by intervals of  $1..oo$  for  $a$  and  $b$ .

a) For the **smallest** numbers combination  $(a,b)=(1,1)$  evaluation of the first form of (1.2) gives

$$(2.1) \quad 2^S = (m+1)^2$$

So we must have  $m+1=2^{S/2}$  and demanding that  $m$  is integer and odd this requires

- 1)  $S$  must be even, say  $S=2 \cdot T$  and
- 2)  $m$  is one below  $2^T$ :  $m=2^T-1$ .

**Note:** if  $a=b$  then of course always also  $A=B$  and thus  $S=2 \cdot A$  must be even.

b) For the **largest** numbers combination  $(a,b)=(oo,oo)$  we get this as limit case

$$(2.2) \quad 2^S = (m+1/oo)^2 = m^2$$

and of course for all positive integer selections of  $(a,b) \in N \setminus \{2\}$  we have then

$$(2.3) \quad \begin{aligned} m^2 < 2^S &\leq (m+1)^2 \\ m < 2^{S/2} &\leq m+1 \end{aligned}$$

This gives -for even or odd  $S$ -, that

$$(2.4) \quad m = \text{floor}(2^{S/2}).$$

**Note:** we don't look at  $m=\text{ceil}(2^{S/2})$  at the moment because this would lead to discussion of negative values in  $(a,b)$

The above derivation shows,

- first, that we need not consider **all** natural numbers as candidates for  $m$ , but that we can use the values  $S$  and derive the possible values  $m$ .
- second, that we need only look at odd  $S$  after we know, that for even  $S$  we get  $m=2^{S/2}-1$  and for that values we'll get the only solutions  $a=b=1$ , the trivial cycle.
- There is a third reductive rule: we want only consider odd  $m$ . This leaves us finally with a set of pairs

$$(2.5) \quad (S, m) \in \{(1,1), (5,5), (7,11), (11,45), (15,181), (27,11585), (33,92681), (35,185363), \dots\}$$

### 3. Checking for 2-step-cycles in the reduced set of possible cases

The first approach -for small numbers  $S$ - is now, to insert acceptable values for the pair  $(S, m)$  and check numerically the possible existence of 2-step-cycles.

**"Exponents-method":** We can test along the exponents  $A < B$  (of course with  $A+B=S$  or  $B=S-A$ ). For this we can use the determination-formula for the elements  $a$  (and  $b$ )

$$(3.1) \quad \begin{aligned} [from (1.3):] \\ a &= (m+2^A)/(2^S - m^2) \\ b &= (m+2^{S-A})/(2^S - m^2) \end{aligned}$$

and for each  $S$  insert successively integer values for  $A$  in  $1..S/2$ . For instance for  $S=35$ ,  $A=1..17$  this needs  $t_{35}=17$  numerical tests and can thus detect the small-number-solutions  $(S, m)=(5,5)$ ,  $(a, b)=(1,3)$  and  $(S, m)=(15,181)$ ,  $(a, b)=(27,611)$  and  $(a, b)=(35,99)$  and find that up to  $m=1.8 \cdot 10^5$  that there are no more solutions using only  $t_{all}=1+2+3+5+7+13+16+17=64$  numerical tests.

**"Mean-method":** A second option is to use the product formula  $2^S = (m+1/a)(m+1/b)$  and follow the searchspace for the (smaller) element  $a < b$  which has an upper bound  $a_m$  by  $2^S = (m+1/a_m)(m+1/b_m) = (m+1/a_m)^2$

$$(3.2) \quad 1 \leq a < a_m = 1/(2^{S/2} - m)$$

This gives for the examples  $S \leq 35$  the list

Table (3.3)

$S$	$m$	$a_m$	$a$	$t_s = \# \text{ of tests}$
1	1	2.41421356237	1	1
5	5	1.52240774993	1	1
7	11	3.18767264271	1,3	2
11	45	3.92412321721	1,3	2
15	181	51.7170479977	1,3,5,...,51	25
27	11585	4.21047383299	1,3	2
33	92681	1.11108187341	1	1
35	185363	1.24992599452	1	1
				sum: $t_{\text{all}} = 35$

and this needs  $t_{\text{all}} = 35$  tests which is less than with the previous method.

**"Combined":** Combining that two methods, first computing the upperbound  $a_m$ , and if  $a_m$  is small, then apply the "mean-method" and if  $a_m > S$  then apply the "exponent-method". We need then only  $t_{\text{all}} = 17$  tests to arrive at our result for  $S$  up to 35. (If we look at  $S$  up to  $S=299$ , we need  $t_{\text{all}} = 164$  tests to disprove any 2-step-cycle for  $181 < m < 1e45$  and if we look up to  $S=2995$  we need  $t_{\text{all}} = 2951$  tests for this disproof up to  $m < 6.2E450$  ).

Unfortunately, for this problem-configuration I don't see any possibility to apply something like the 1-cycle-disproof for the  $3x+1$ -problem according to the Steiner/Simons - method with the Rhin-bound for the distance  $S \cdot \log(2) - N \cdot \log(3)$ . The Rhin-bound depends on parameter  $N$  and supplies some upperbound for  $N$ , while we have here a fixed value for  $N=2$  in the case before us.

#### 4. How to handle the lack of an analytical bound for $S$ or $m$ to small numbers?

Combining the two steps in (1.1) we can derive equations for  $a$  and  $b$  as given above from (1.3):

$$(4.1) \quad a = (m+2^A)/(2^S - m^2) \quad b = (m+2^{S-A})/(2^S - m^2)$$

In this equations we find, that if there is a 2-step-cycle then  $a$  and  $b$  are integer, and the terms  $m+2^A$  as well as  $m+2^B$  must be divisible by the denominator  $2^S - m^2$ . I didn't succeed yet to find an analytical argument that allows to deduce the impossibility of such integrality by the parameter  $S$  (and/or  $m$ ) alone. Fixing  $a=1$  allows however a disproof for 2-step-cycles other then for  $(S,m)=(5,5)$ , but no success for keeping  $a$  indetermined. A proof for the nonexistence of solutions  $S > 5$  has been developed in an answer to a question of mine in the forum MathOverflow.

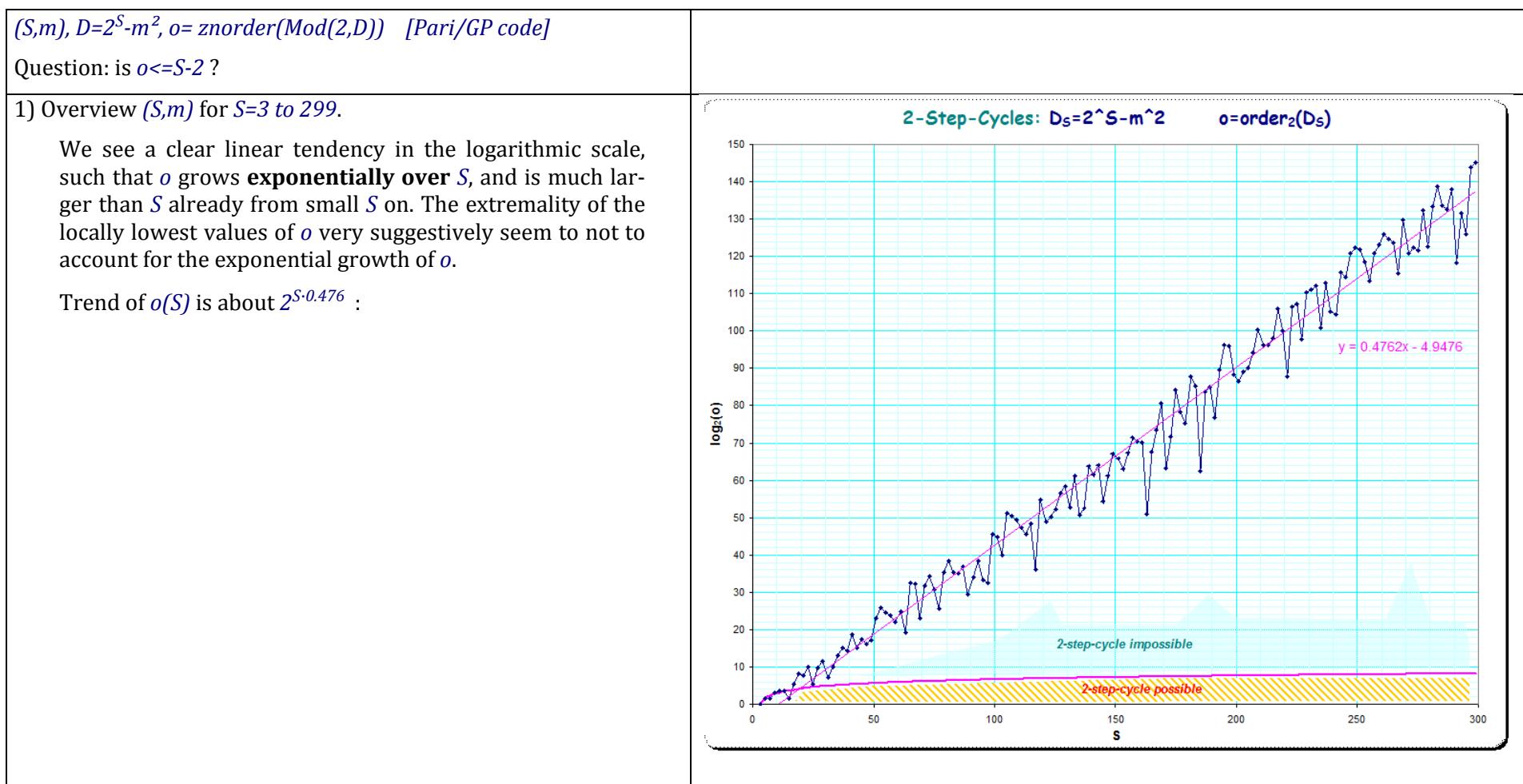
**"Cyclic group-method":** Towards the generalization to both  $a$  and  $b$  to be left indeterminate, a better approach seems to me now is to look at the difference  $b-a$ , which cancels the depending variable  $m$  in the numerator:

$$(4.1) \quad b-a = (2^{S-A} - 2^A)/(2^S - m^2) = 2^A \cdot (2^{S-2A} - 1)/(2^S - m^2)$$

Let's denote the overall important denominator's structure:  $D=2^S - m^2$ . Then, because the numerator must be divisible by  $D$ , we have the property that the exponent  $(S-2A)$  in the numerator's parenthesis must equal the multiplicative order of  $D$  to base 2, or equal a multiple of it:

$$\begin{aligned} o &= \text{order}_2(D) \quad // = \text{multiplicative order } D \text{ to base 2 with } D/2^o - 1 \\ S - 2A &= k \cdot o \\ (4.2) \Rightarrow o &\leq S - 2 \end{aligned}$$

Here we see, that the upper bound for  $o$  is  $S-2$  to make a 2-step-cycle possible at all. But looking empirically at that values for  $o$  we find an **exponential** relation between  $o$  and  $S$ , with the only four examples of  $o \leq S-2$  and 2-step-cycles only for  $(S,m)=(5,5)$  and for  $(S,m)=(15,181)$  (where the latter configuration allows even two 2-step-cycles). See two pictures, plotting empirical data up to  $S=299$  (data table at the end of the paper).

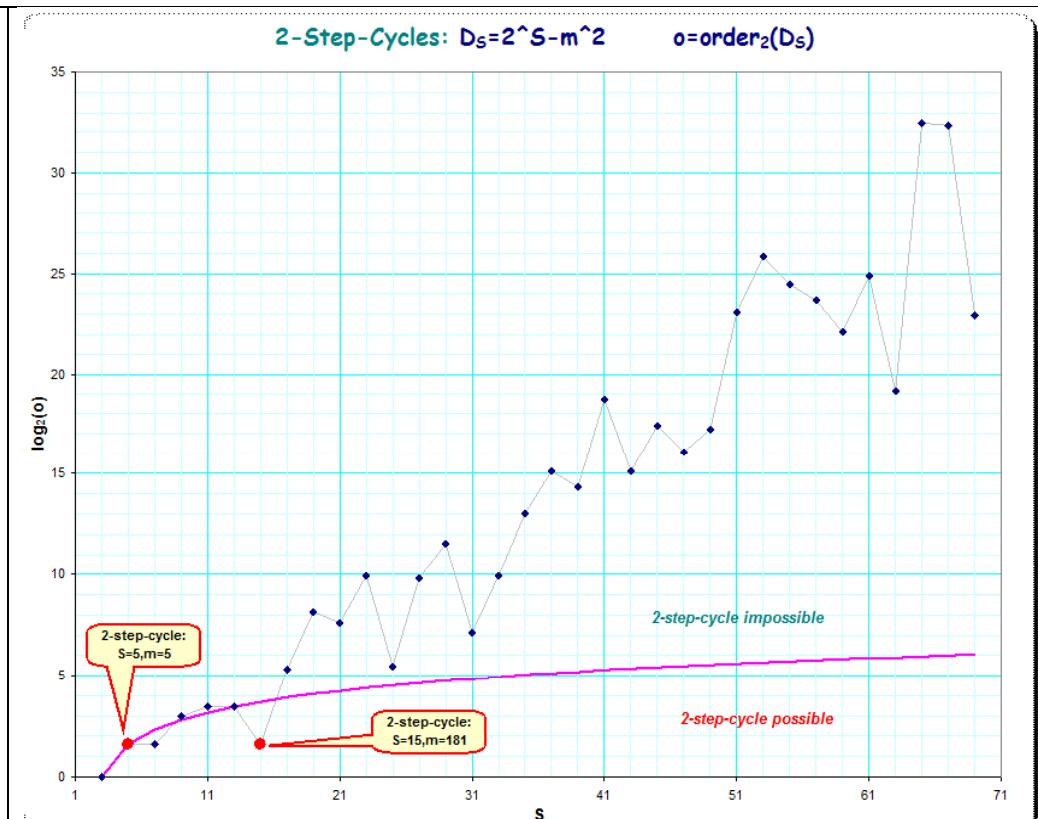


2) Detail for small  $S$ 

We see (blue vs pink line) that the only cases where  $o \leq S-2$  are that at

$$\begin{aligned} S=5: & (S,m)=(5,5) o=3, \\ S=7: & (S,m)=(7,11) o=3, \\ S=13: & (S,m)=(13,91) o=11, \\ S=15: & (S,m)=(15,181) o=3 \end{aligned}$$

where only  $(S,m)=(5,5)$  and  $(S,m)=(15,181)$  have one or two 2-step-cycles.



Unfortunately, no approximation bound for  $2^S$  to  $m^2$  is known to me; for getting some insight I transformed that problem to one of the bit-patterns of the integer and fractional parts of  $2^T \cdot \sqrt{2}$ , but again I've been missing any usable upper or lower bounds in terms of  $T$  so far.

## Appendix

### 5. Table 1: Table of data for S=3..299 (Excel-notation)

**Note:** we look at multiplicative order of  $|D|$  in relation to  $S$  for the imagination of some trend. Thus we show the values for  $m=\text{floor}(2^{S/2})$  and  $m=\text{ceil}(2^{S/2})$  - always the next odd value of  $m$  near  $2^{S/2}$  - all for odd  $S$  only. "Ub(o)" means: upper bound for  $o$  by  $o \leq S-2$ .

S	m	D	o	Ub(o) =S-2	log <sub>2</sub> (o)	log <sub>2</sub> (Ub(o))	S	m	D	o	Ub(o) =S-2	log <sub>2</sub> (o)	log <sub>2</sub> (Ub(o))
3	3	1	1	1	0	0	151	5.34E+22	9.04E+22	5.88E+19	149	65.67156	7.21916852
5	5	7	3	3	1.5849625	1.5849625	153	1.07E+23	1.48E+23	8.60E+18	151	62.8983897	7.23840474
7	11	7	3	5	1.5849625	2.32192809	155	2.14E+23	1.64E+23	1.98E+20	153	67.4204787	7.25738784
9	23	17	8	7	3	2.80735492	159	8.55E+23	9.20E+23	1.53E+21	157	70.3700975	7.29462075
11	45	23	11	9	3.45943162	3.169925	161	1.71E+24	2.59E+23	1.35E+21	159	70.193172	7.31288296
13	91	89	11	11	3.45943162	3.45943162	163	3.42E+24	5.80E+24	2.28E+15	161	51.0148012	7.33091688
15	181	7	3	13	1.5849625	3.70043972	165	6.84E+24	9.53E+24	2.36E+20	163	67.6785222	7.34872815
17	363	697	40	15	5.32192809	3.9068906	167	1.37E+25	1.08E+25	1.20E+22	165	73.349075	7.36632221
19	725	1337	285	17	8.15481811	4.08746284	169	2.74E+25	1.16E+25	1.94E+24	167	80.6814278	7.38370429
21	1449	2449	195	19	7.60733031	4.24792751	171	5.47E+25	6.29E+25	1.12E+19	169	63.2776655	7.40087944
23	2897	4001	1000	21	9.96578428	4.39231742	173	1.09E+26	3.27E+25	3.53E+21	171	71.5785317	7.41785251
25	5793	4417	45	23	5.4918531	4.52356196	175	2.19E+26	3.07E+26	2.41E+25	173	84.3150785	7.43462823
27	11585	5503	917	25	9.84077792	4.64385619	177	4.38E+26	3.52E+26	3.88E+23	175	78.3616715	7.45121111
29	23171	24329	3041	27	11.5703301	4.7548875	179	8.75E+26	3.43E+26	4.38E+22	177	75.2126266	7.46760555
31	46341	4633	140	29	7.12928302	4.857981	181	1.75E+27	2.13E+27	2.66E+26	179	87.7822997	7.48381578
33	92681	166831	993	31	9.95564991	4.95419631	183	3.50E+27	1.51E+27	4.73E+25	181	85.2898801	7.49984589
35	185363	296599	8568	33	13.0647428	5.04439412	185	7.00E+27	7.95E+27	6.78E+18	183	62.5554914	7.51569984
37	370727	444943	35805	35	15.1278734	5.12928302	187	1.40E+28	3.79E+27	1.51E+25	185	83.6386276	7.53138146
39	741455	296863	21204	37	14.3720488	5.20945337	189	2.80E+28	4.08E+28	3.52E+25	187	84.8618925	7.54689446
41	1482911	1778369	438945	39	18.7436807	5.28540222	191	5.60E+28	5.13E+28	1.33E+23	189	76.8137112	7.56224242
43	2965821	1181833	36860	41	15.1697685	5.357552	193	1.12E+29	1.87E+28	9.35E+26	191	89.5953417	7.57742883
45	5931641	7135951	177460	43	17.4371343	5.42626475	195	2.24E+29	3.73E+29	9.33E+28	193	96.2358463	7.59245704
47	11863283	4817239	69384	45	16.0823154	5.4918531	197	4.48E+29	5.96E+29	7.46E+28	195	95.9122376	7.60733031
49	23726567	28184177	155265	47	17.2443731	5.55458885	199	8.96E+29	5.93E+29	3.82E+26	197	88.3019249	7.62205182
51	47453133	17830441	8915220	49	23.087839	5.61470984	201	1.79E+30	1.21E+30	1.09E+26	199	86.4905242	7.63662462
53	94906265	118490767	59245383	51	25.8201994	5.67242534	203	3.59E+30	2.32E+30	6.39E+26	201	89.0459171	7.65105169
55	189812531	94338007	23009260	53	24.4557113	5.72792045	205	7.17E+30	5.08E+30	1.26E+27	203	90.0237879	7.66533592
57	379625063	381890897	13601136	55	23.6972238	5.78135971	207	1.43E+31	8.37E+30	2.18E+28	205	94.1389352	7.6794801
59	759250125	9092137	4546068	57	22.1161878	5.83289001	209	2.87E+31	2.39E+31	1.49E+30	207	100.234852	7.69348696
61	1518500249	3000631951	30368188	59	24.8560575	5.88264305	211	5.74E+31	1.93E+31	8.73E+28	209	96.1396275	7.70735913
63	3037000499	5928526807	587832	61	19.1650444	5.93073734	213	1.15E+32	1.52E+32	9.78E+28	211	96.3040769	7.72109919
65	6074000999	1.1566E+10	5783052615	63	32.4291841	5.97727992	215	2.29E+32	1.51E+32	2.99E+29	213	97.9141299	7.73470962
67	1.2148E+10	2.1968E+10	5358150460	65	32.3190879	6.02236781	217	4.59E+32	3.15E+32	7.41E+31	215	105.869141	7.74819285
69	2.4296E+10	3.9282E+10	8166120	67	22.9612193	6.06608919	219	9.18E+32	5.76E+32	1.41E+30	217	100.150137	7.76155123
71	4.8592E+10	5.9943E+10	3745488406	69	31.8025067	6.10852446	221	1.84E+33	1.37E+33	2.80E+26	219	87.8575484	7.77478706
73	9.7184E+10	4.5402E+10	2.2701E+10	71	34.4020514	6.14974712	223	3.67E+33	1.88E+33	1.17E+32	221	106.530741	7.78790256
75	1.9437E+11	2.0713E+11	1849236765	73	30.7842828	6.18982456	225	7.34E+33	7.18E+33	1.98E+32	223	107.287257	7.8008999
77	3.8874E+11	5.1033E+10	53158644	75	25.663801	6.22881869	227	1.47E+34	6.33E+32	2.69E+29	225	97.7633806	7.81378119
79	7.7747E+11	1.35E+12	3.9716E+10	77	35.2090026	6.26678654	229	2.94E+34	5.62E+34	1.75E+33	227	110.42781	7.82654849
81	1.55E+12	2.29E+12	3.8223E+11	79	38.47564	6.30378075	231	5.87E+34	1.07E+35	2.67E+33	229	111.040522	7.83920379
83	3.11E+12	2.95E+12	4.5574E+10	81	35.4074823	6.33985	233	1.17E+35	1.94E+35	6.07E+33	231	112.224827	7.85174904
85	6.22E+12	6.2484E+11	3.9047E+10	83	35.1845085	6.37503943	235	2.35E+35	3.08E+35	2.23E+30	233	100.813595	7.86418614
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## 6. Cycles in generalizations of the type $m \cdot x + 1$ /heuristic by author 10'2020

```
forstep(j= 3,19999,2,tmp=checkloop(j,1000,30,+1);if(tmp==[],next());print(j," ",tmp))
forstep(j= 3, 9999,2,tmp=checkloop(j,1000,30,-1);if(tmp==[],next());print(j," ",tmp))
```

Cycles in Collatz-type iterations for positive and for negative numbers  $a_k$ ,

$$a_{k+1} = (m \cdot a_k + 1)/2^A \quad \text{cycle of length } N \text{ by } a_{N+1} = a_1$$

Tested: bases  $m < 20000$   $a_1 < 1000$  length  $N < 30$  (G.Helms, 5'2016)

Tested:  $S \dots 1999 : \text{bases } m \leq 7.5E300 \text{ for } N=2 \dots m \leq 1.14E20 \text{ for } N=30$ ; main criterion:  $1 \leq a_1 < a_m$  (G.Helms, 10'2020)

base m		positive integers	negative integers		comments
$m = 2^k - 1$	<b>3</b>	[1, 1, ...]	[-1, -1, ...] [-5, -7, \ -5, ...] [-17, -25, -37, -55, \ -41, -61, -91, \ -17, ...]	N=2 N=7	1-cycle 2-cycle
	<b>7</b>	[1, 1, ...]			$2^3 = (7+1)$
	<b>15</b>	[1, 1, ...]			$2^4 = (15+1)$
	<b>31</b>	[1, 1, ...]			$2^5 = (31+1)$
	<b>63</b>	[1, 1, ...]			$2^6 = (63+1)$
	...	...			...
	<b>16383</b>	[1, 1, ...]			$2^{15} = (16383+1)$
	...	...			...
$m = 2^k + 1$	<b>3</b>	[1, 1, ...] (see above)	[-1, -1, ...] (see above) [-5, -7, \ -5, ...] [-17, -25, -37, -55, \ -41, -61, -91, \ -17, ...] (see above)	N=2 N=7	1-cycle 2-cycle
	<b>5</b>		[-1, -1, ...]		
		[1, 3, \ 1, ...] [13, 33, 83, \ 13, ...] [17, 43, 27, \ 17, ...]		N=2 N=3 N=3	1-cycle 1-cycle 2-cycle
	<b>9</b>		[-1, -1, ...]		$2^3 = (9-1)$
	<b>17</b>		[-1, -1, ...]		$2^4 = (17-1)$
	<b>33</b>		[-1, -1, ...]		$2^5 = (33-1)$
	...		...		...
	<b>8193</b>		[-1, -1, ...]		$2^{13} = (8193-1)$
	...				...
<b>other m</b>	<b>181</b>	[27, 611, \ 27, ...] [35, 99, \ 35, ...]		N=2 N=2	1-cycle 1-cycle
	? >20000				

The cycles  $m=5 : [13, 33, 83]$  and  $m=181 : [27, 611]$  are also mentioned by [Crandall 1978]

Note that for  $m=181$  we have that  $m^2 = 181^2 = 32761$  is very near  $2^{15} = 32768$